



CATEGORY OF PAPER

Specific action required:		Provides Assurance:	✓	For Information:	
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Board of Directors' Meeting – 25/10/2018

Report title:	Organisational Risk Register 2018/2019																								
Purpose of report:	To present the Board of Directors with the Organisational Risk Register for 2018/2019, enabling risks and mitigating actions to be discussed.																								
Key issues: <i>(key points of the paper, how this supports the achievement of the Trust's corporate objectives, overview of risk implications, main risk details on page 2)</i>	<p>The Organisational Risk Register for 2018 / 2019 is presented to the Board of directors to discuss and review the content, seek / clarify the level of assurances provided and ensure that the risks captured are relevant as well as correctly risk rated against the current organisational position.</p> <p>Below provides an overview of the risks and current position as at 4th October 2018; It is important to note that the position is highly likely to change between writing this paper and being presented to the Board.</p> <table border="1"> <tr><td>Total risks</td><td>10</td></tr> <tr><td>Red rated risks (15+)</td><td>8</td></tr> <tr><td>Amber rated risks (8-12)</td><td>2</td></tr> <tr><td>Risks with controls in place</td><td>9</td></tr> <tr><td>Red rated risks with controls in place</td><td>8</td></tr> <tr><td>Amber rated risks with controls in place</td><td>1</td></tr> <tr><td>Risks overdue review</td><td>1</td></tr> <tr><td>Actions assigned</td><td>29</td></tr> <tr><td>- Actions closed</td><td>17</td></tr> <tr><td>- Overdue actions</td><td>2</td></tr> <tr><td>- Actions within target date</td><td>10</td></tr> <tr><td>Risks without assigned actions</td><td>2</td></tr> </table> <p>The overdue risk relates to risk reference 157 which is the proposed removal of £1.3million pound from the 999 clinical hub from April 2019. A number of actions are associated with this risk and have deadlines of the 31st October 2018 and into late November 2018. It is anticipated that this risk will be updated before the actually meeting.</p> <p>Risk and actions owners have received a reminder to update the overdue risks and associated actions.</p>	Total risks	10	Red rated risks (15+)	8	Amber rated risks (8-12)	2	Risks with controls in place	9	Red rated risks with controls in place	8	Amber rated risks with controls in place	1	Risks overdue review	1	Actions assigned	29	- Actions closed	17	- Overdue actions	2	- Actions within target date	10	Risks without assigned actions	2
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Issue previously considered by:	Executive Risk Management Group					
Recommended actions:	The Board of Directors are asked to discuss the risks, control, actions and risk scores to ensure the organisational risk register accurately reflects the current position.					
Sponsor / approving director:	Director of Quality and Safety					
Report author:	Head of Risk					
Governance and assurance						
Link to Trust Priorities: <i>(please tick)</i>	Organisational Sustainability	Improving Quality & Safety	Workforce & Investors in People	Clinical Care & Transport	NHS 111 & Clinical Assessment Service	Comms & Engagement
	✓	✓	✓	✓	✓	✓
Link to CQC / KLOE: <i>(please tick)</i>	Caring		Responsive	Effective	Well Led	Safe
	✓		✓	✓	✓	✓
Link to Trust values: <i>(please tick)</i>	Pride	Strive for excellence	Respect	Compassion	Take responsibility & be accountable	Make a difference – day in & day out
	✓	✓	✓	✓	✓	✓
<i>(Please explain how this paper supports the application of the Trust's values in practice)</i>	The organisational risk register spans the whole Trust and links across external areas. Identification of risks enables the Trust to effectively manage such risks encompassing the values.					
Any relevant legal / statutory issues? <i>(Such as relevant acts, regulations, national guidelines or constitutional issues to consider)</i>	Inadequate consideration of the Organisational Risk Register could result in a failure to effectively manage identified risks, whilst impede achievement of compliance with the Trust's objectives thus creating unnecessary risk exposure					
Equality analysis completed If this is not relevant please explain why:	Yes		No		Not Relevant	
					✓	
	This relates to the management of organisational risks and therefore doesn't directly impact positively or negatively on social groups.					
Key considerations	Details					
Confirm whether any risks that have been identified have been recognized on a risk register and provide the reference number:	The report provides full details of the organisational risks.					
Please specify any Financial Implications Please explain whether there are any associated efficiency savings or increased productivity opportunities?	The report itself does not pose any financial implications. The individual specific risks will however cover any specific issues.					
Are any additional resources required e.g. staff capacity?	Specific risks have links with additional resources, the details are covered within the associated actions.					

<p>Is there any current or expected impact on patient outcomes/experience/quality?</p>	<p>If the risks and actions are not managed/monitored in line with the target dates, this may lead onto a negative impact. Failure to effectively manage risks is more likely to result in the realization of the risk and subsequent impacts</p>			
<p>Specify whether appropriate clinical and/or stakeholder engagement has been undertaken: <i>(stakeholders could include staff, other Trust departments, providers, CCGs, patients, carers or the general public)</i></p>	<p>Each risk is owned and assessed by the relevant department/directorate. The identified risks, where appropriate, have been shared with key internal and external stakeholders.</p>			
<p>Are there any aspects of this paper which need to be communicated to our stakeholders (internal or external)? <i>(Please tick – if ‘yes’ then please complete all boxes. Please briefly specify the key points for communication and ensure the Comms team are informed via mailto:publicrelations@neas.nhs.uk)</i></p>	Yes	No	Positive	Negative
	✓		✓	✓
	Proactive	Reactive	Internal	External
	✓	✓	✓	✓